

CERTIFICATE OF PUBLIC CONVENIENCE AND NECESSITY - CONSTRUCTION

Filing Requirements Checklist

(Applicable Authority: KRS 278.020(1), 807 KAR 5:001, Sections 8 and 9(2))

Case No. _____ Utility Name _____ Received Date _____ Form Circulation Date _____

Instructions:

- 1) Each Division noted by checkmark () is to complete its review and pass on within two days of receipt.
- 2) This form is to list only the specific filing deficiencies as identified in the regulations. If additional information is needed, an information request must be issued.
- 3) Staff member should use initials and list date review is completed.
- 4) Return to Docket Section following review by all Divisions.

Reviewed by Following Divisions:

		<u>Staff Member</u>	<u>Date</u>
_____	Filings Division	_____	_____
_____	Financial Analysis	_____	_____
_____	Engineering	_____	_____
_____	Legal	_____	_____

<u>Division Responsible</u>	<u>Law/Regulation</u>	<u>Filing Requirement</u>	<u>Requirement Met</u>	<u>Waiver Requested</u>	<u>Approve Waiver?</u>	
			<u>YES</u> <u>NO</u>		<u>YES</u>	<u>N</u> <u>O</u>

807 KAR 5:001:

Filings Division	Section 8(1)	Full name and post office address of applicant and a reference to the particular provision of law requiring Commission approval.	_____	_____	_____	_____	_____
Filings Division	Section 8(2)	The original and 10 copies of the application with an additional copy for any party named therein as an interested party.	_____	_____	_____	_____	_____

<u>Division Responsible</u>	<u>Law/Regulation</u>	<u>Filing Requirement</u>	<u>Requirement Met</u>		<u>Waiver Requested</u>	<u>Approve Waiver?</u>	
			<u>YES</u>	<u>NO</u>		<u>YES</u>	<u>NO</u>
Legal	Section 8(3)	If applicant is a corporation, a certified copy of the Articles of Incorporation and all amendments thereto <u>or</u> if the articles were filed with the PSC in a prior proceeding, a reference to the style and case number of the prior proceeding.	—	—	—	—	—
	<u>Section 9(2)</u>						
Engineering		(a) The facts relied upon to show that the proposed new construction is or will be required by public convenience or necessity.	—	—	—	—	—
Engineering and Legal		(b) Copies of franchises or permits, if any, from the proper public authority for the proposed new construction or extension, if not previously filed with the commission.	—	—	—	—	—
Engineering		(c) A full description of the proposed location, route, or routes of the new construction or extension, including a description of the manner in which same will be constructed, and also the names of all public utilities, corporations, or persons with whom the proposed new construction or extension is likely to compete.	—	—	—	—	—

<u>Division Responsible</u>	<u>Law/Regulation</u>	<u>Filing Requirement</u>	<u>Requirement Met</u>		<u>Waiver Requested</u>	<u>Approve Waiver?</u>	
			<u>YES</u>	<u>NO</u>		<u>YES</u>	<u>NO</u>
	807 KAR 5:001: <u>Section 9(2)</u>						
Engineering		(d) Three (3) maps to suitable scale (preferably not more than two (2) miles per inch) showing the location or route of the proposed new construction or extension, as well as the location to scale of any like facilities owned by others located anywhere within the map area with adequate identification as to the ownership of such other facilities.	___	___	___	___	___
Financial Analysis		(e) The manner, in detail, in which it is proposed to finance the new construction or extension.	___	___	___	___	___
Engineering and Financial Analysis		(f) An estimated cost of operation after the proposed facilities are completed.	___	___	___	___	___

THE FOLLOWING ITEM IS NOT REQUIRED TO BE FILED WITH AN APPLICATION FOR A CERTIFICATE TO CONSTRUCT BUT IDEALLY SHOULD BE FILED WITH THE APPLICATION TO EXPEDITE ITS PROCESSING:

Engineering	KRS 322.340	Engineering plans, specifications, plats and report for the proposed construction. The engineering documents prepared by a registered engineer, requires that they be signed, sealed, and dated by an engineer registered in Kentucky.	___	___	___	___	___
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